1. Date of birth:
2. Sex assigned at birth:

Male

Female

Intersex

Unknown

Other, specify:

1. Gender identity:

Male

Female

Unknown

Other, specify:

1. Ethnicity (“X” ONLY one with which you MOST CLOSELY identify):

Hispanic or Latino

Not Hispanic or Latino

Unknown

Not Reported

1. Race category (choose all that apply):

American Indian or Alaska Native

Asian

Black or African American

Native Hawaiian or Other Pacific Islander

White

Unknown

Not Reported

1. Number of years of education[[1]](#footnote-1): years:
2. SNOMED CT Code:
3. Medical History Term:

Recorder Signature: Date: \_ \_ / \_ \_ / \_ \_ \_ \_

## General Instructions

Most of the data elements on this form are known as General Core CDEs and will be collected for every study population. The data element “Number of years of education” is classified as a Supplemental – Highly Recommended CDE (highly recommended and commonly collected in clinical research studies but whose relevance depends on the study design or type of research involved). These items will be used to compare baseline characteristics among study groups and to identify confounding variables.

As stated in the NIH Guidelines on Inclusion of Women and Minorities as participants/subjects in Clinical Research: The Office of Management and Budget (OMB) Directive No. 15 defines the minimum standard of basic racial and ethnic categories, which are used below. NIH has chosen to continue the use of these definitions because they allow comparisons across many national databases, especially national health databases. Therefore, the racial and ethnic categories described below should be used as basic guidance, cognizant of the distinction based on cultural heritage. ([NIH Guideline on the Inclusion of Women and Minorities](https://grants.nih.gov/policy/inclusion/women-and-minorities/guidelines.htm))

Responses to categories are obtained from self-report when possible or obtained from parent/legal guardian interview.

As stated above, all of the elements included on this CRF are considered Core (i.e., strongly recommended for all studies to collect), with the exception of Years of Education, which is Supplemental – Highly Recommended (i.e., strongly encouraged to collect based on the type of study).

For pediatric studies, the data elements on this CRF reflect child characteristics, not caregiver characteristics.

## Specific Instructions

Please see the Data Dictionary for definitions for each of the data elements included in this CRF Module.

* Date and Time of Birth – The date/time should be recorded to the level of granularity known (e.g., year, year and month, complete date plus hours and minutes, etc.) and in the format acceptable to the study database. Recording date of birth will give the most detailed information required for calculation of age and is recommended as first choice. However, in some studies recording date of birth may elicit discussions on a potential violation of privacy legislation and specifically HIPAA regulations. In these cases, the calculated age should be recorded.
* Sex at birth – Choose one. Response is obtained by report of the participant/subject or caretaker. The assemblage of physical properties or qualities by which male is distinguished from female. Male is a person who belongs to the sex that normally produces sperm. The term is used to indicate biological sex distinctions, cultural gender role distinctions, or both. Female is a person who belongs to the sex that normally produces ova. The term is used to indicate biological sex distinctions, or cultural gender role distinctions, or both. Intersex is a person (one of unisexual specimens) who is born with genitalia and/or secondary sexual characteristics of indeterminate sex, or which combine features of both sexes. The NIH Guidelines on Inclusion of Women and Minorities as Subjects in Clinical Research: The Office of Management and Budget Directive No. 15 ([Click here for the NIH Guideline on The Inclusion of Women and Minorities](https://grants.nih.gov/policy/inclusion/women-and-minorities/guidelines.htm)).
* Gender identity – Choose one. Response is obtained by report of the participant/subject or caretaker. Internally held sense of the participant/subject gender which may or may not correspond to the individual’s genotypic or phenotypic sex.
* Ethnicity – Choose one. Response is obtained by report of the participant/subject or caretaker. If more detailed characterizations of ethnicity are collected to enhance data quality and consistency, it is recommended that they be "collapsible" up to the two categories for reportable ethnicity, as needed for reporting to FDA under its guidance. Other regulatory bodies may expect the reporting of ethnicity values which more appropriately reflect the population of their areas (e.g., Japanese ancestry for MHLW reporting to Japan). These may be collected as an extension to the suggested code list.
* Race – Choose all that apply. Response is obtained by report of the participant/subject or caretaker. Collecting information on race may not be allowed in some countries for concerns related to discrimination. In other countries, however, these concerns are considered a reason for recording race in order to guarantee equal access to care. Investigators receiving funding from the US National Institutes of Health (NIH) are required to report the number of subjects enrolled on an annual basis using the racial categories listed.

The NIH Guidelines on Inclusion of Women and Minorities as Subjects in Clinical Research: The Office of Management and Budget Directive No. 15 defines the minimum standard of basic racial and ethnic categories. ([Click here for the NIH Guideline on The Inclusion of Women and Minorities](https://grants.nih.gov/policy/inclusion/women-and-minorities/guidelines.htm)). (FDA, October 2016 <https://www.fda.gov/regulatory-information/search-fda-guidance-documents/collection-race-and-ethnicity-data-clinical-trials>).

* Years of education – For years completed, after the age of 5, code the number of years attained (0–30 years), normed to someone moving full time at the usual pace, i.e., a year that was repeated counts as only 1 year and the usual single-year full-time load completed over several years counts as 1 year. Certificate and technical programs do NOT count no matter how specialized. The number of years of typical completion of the relevant program is counted. If the subject obtained their education outside the United States, ask about their educational system to estimate the correct coding – Internship, Residency, and Fellowship years are experiential training and do not count.
* Condition/Disease SNOMED CT Code–Code each of the medical history conditions using [SNOMED CT](http://www.nlm.nih.gov/research/umls/Snomed/snomed_main.html).
* Medical History Term – Record one Medical History term per line. See the data dictionary for additional information on coding the condition using SNOMED CT.

1. Years of education is Supplemental – Highly Recommended [↑](#footnote-ref-1)